

# Math 61-1 Final exam

EUGENE LO

TOTAL POINTS

**64.5 / 90**

QUESTION 1

Multiple choice 10 pts

1.1 2 / 2

- ✓ - 0 pts Correct (c)
- 2 pts Incorrect

1.2 2 / 2

- ✓ - 0 pts Correct (a)
- 2 pts Incorrect

1.3 2 / 2

- ✓ - 0 pts Correct (c)
- 2 pts Incorrect

1.4 2 / 2

- ✓ - 0 pts Correct (b)
- 2 pts incorrect

1.5 0 / 2

- 0 pts Correct (a)
- ✓ - 2 pts Incorrect

QUESTION 2

Short answer 10 pts

2.1 2 / 2

- ✓ - 0 pts Correct  $((-2)^{100} + 3^{100})$
- 1 pts Almost correct (small arithmetic error in answer)
- 2 pts Incorrect

2.2 0 / 2

- 0 pts Correct  $(C(7,4)6!4!)$
- 1 pts Close
- ✓ - 2 pts Incorrect

2.3 2 / 2

- ✓ - 0 pts Correct (24C4)
- 1 pts Close
- 2 pts incorrect

2.4 2 / 2

- ✓ - 0 pts Correct
- 1 pts Close (Three of four)
- 2 pts Incorrect

2.5 1 / 2

- 0 pts Correct  $(2^{(n^2 - n)} + 2^{(n^2 + n / 2)} - 2^{(n^2 - n / 2)})$
- ✓ - 1 pts Close
- 2 pts Incorrect

QUESTION 3

Equivalence relation 10 pts

3.1 it is an equivalence relation 4 / 4

- ✓ - 0 pts Correct
- 1 pts issue in transitivity
- 3 pts misunderstanding of what relation is saying
- 4 pts blank
- 2 pts misunderstanding of symmetry
- 1 pts the decimal thing isn't exactly right, e.g.  $-3$  is related to  $.7$
- 0 pts Click here to replace this description.
- 1 pts issue with symmetry

3.2 defining a function 3 / 4

- 0 pts Correct
- 4 pts blank
- 2 pts need to prove uniqueness part of function
- 2 pts missing existence part of function
- 1 pts issue with uniqueness part of function

✓ - 1 pts need to consider different elements in the same equivalence class

- 1 pts thing with decimals isn't quite right, for example -.3 and .7 are related

- 3 pts big misunderstanding of the equivalence relation or function

### 3.3 a function that doesn't descend 0 / 2

- 0 pts Correct

✓ - 2 pts your g is not a function

- 1 pts issue with justification

- 1 pts your g does not work

- 2 pts blank

#### QUESTION 4

### m-ary tree 10 pts

#### 4.1 number of internal vertices 5 / 5

✓ - 0 pts Correct

- 1 pts No/incorrect answer

- 4 pts No/incorrect justification

- 2 pts Didn't justify number of total vertices

- 3 pts "Proof by example"

- 2 pts Assumed every terminal vertex had the same height as the tree

- 5 pts Nothing

- 1 pts Forgot to account for root

- 2 pts Didn't subtract off internal vertices

#### 4.2 height 3 / 5

- 0 pts Correct

✓ - 1 pts No base case

✓ - 1 pts Didn't set up/invoke induction

- 1 pts Backwards inductive step (didn't show inductive construction is exhaustive)

- 2 pts Compared to complete tree without showing this case is extremal

- 3 pts Assumed tree is complete / inductive construction forms complete trees from complete trees

- 1 pts Assumed all immediate subtrees have height h-1

- 4 pts "Proof by example"

- 5 pts Nothing shown / Incorrect reasoning

Very good proof, but the induction needs to be more explicit: you didn't claim to show an inductive step yet you used an inductive hypothesis without acknowledging it. You should start a proof like this by saying you'll be proving this by induction on h, then show a base case. Label the inductive step clearly as such, and make note of where the inductive hypothesis is used.

#### QUESTION 5

### spanning trees 10 pts

#### 5.1 unique mst 3 / 6

- 0 pts Correct

- 3 pts Appeal to Prim's or Kruskal's Algorithm (without proving it can generate any MST)

- 6 pts No / Invalid reasoning

- 3 Point adjustment

You're trying to show T is unique!!

Should be "let us suppose" or similar

Which subgraph of T'?

(-3) Did not produce desired result in all cases

#### 5.2 non unique spanning tree 4 / 4

✓ - 0 pts Correct

- 4 pts Not an example

- 4 pts Claimed no such graph exists

- 4 pts Nothing

#### QUESTION 6

### planar graphs 10 pts

#### 6.1 $2e > 3f$ 3 / 3

✓ + 3 pts Correct

+ 2 pts  $\geq 3$  edges for each face

- + 1 pts  $\geq 3$  edges for each face (w/ mistake)
- + 1 pts  $\leq 2$  faces for each edge
- + 0 pts Incorrect

### 6.2 $e < 3v - 6$ 3 / 3

- ✓ + 3 pts Correct
- + 2 pts Euler's formula
- + 1 pts Correct application with (a)
- + 0 pts Incorrect

### 6.3 nonplanar graph 3 / 4

- + 4 pts Correct
- ✓ + 3 pts Isomorphic to  $K_{3,3}$
- + 2 pts Mistaken/missing isomorphism to  $K_{3,3}$
- + 1 pts  $E \leq 2v - 4$  or  $2E \geq 4F$
- + 1 pts Other partial credit
- + 0 pts Incorrect

### QUESTION 7

10 pts

### 7.1 $7^{n-1}$ divisible by 6 5 / 5

- ✓ + 5 pts Correct
- + 1 pts Base case
- + 1 pts Inductive hypothesis
- + 2 pts factoring out a 7 in inductive step as  $(6+1)$  or adding/subtracting 7
- + 1 pts Conclusion
- + 0 pts Incorrect

### 7.2 number with only 1s divisible by 7 1 / 5

- + 5 pts Correct
- + 0 pts Click here to replace this description.
- + 1 pts Look at 8 consecutive terms
- + 1 pts Pigeonhole remainder
- + 1 pts 7 divides a number of the form  $11\dots000\dots$
- + 2 pts This implies that 7 divides  $10^k - 1$
- ✓ + 1 pts Unsuccessful attempt with substantial work

### QUESTION 8

balanced binary trees 10 pts

### 8.1 4 / 4

- ✓ - 0 pts Correct
- 2 pts incomplete, need to describe how a height  $n$  minimal balanced binary tree is made out of ones of smaller height
- 3 pts can't just do examples
- 4 pts blank
- 1 pts how are you adding in these trees/ vertices?
- 3 pts can't do induction without using some properties of minimal balanced binary trees
- 4 pts incorrect numbers/ equation

### 8.2 relationship to fibonacci numbers 2 / 3

- ✓ - 0 pts Correct
- 1.5 pts that is not the recurrence/ equation for the fibonacci numbers/ minimal balanced binary trees
- 1 pts you are assuming the desired conclusion
- 3 pts blank
- 1.5 pts need to use recurrence for fibonacci numbers
- 1.5 pts missing inductive step
- ✓ - 1 pts the two recurrences aren't exactly the same, you need to account for this difference
- 0.5 pts error in equations
- 1 pts need to check initial conditions

### 8.3 Theta 2.5 / 3

- 0 pts Correct
- ✓ - 0.5 pts need to account for other term in equation for fibonacci numbers (sometimes it is contributing something positive, something something negative)
- 2 pts wrong formula for fibonacci numbers/  $v_n$
- 1 pts issue with big O
- 1 pts issue with omega
- 3 pts blank/ no gradable work
- 1 pts wrong equations/ issues with constants
- 2 pts need to use equation for  $v_n$ / Fibonacci numbers

### QUESTION 9

## binomial coefficients 10 pts

### 9.1 $3^n$ 4 / 4

- ✓ + 4 pts Correct
- + 3 pts Minor error
- + 2 pts Binomial theorem
- + 1 pts Attempted induction or counting argument
- + 0 pts Incorrect

### 9.2 vandermonde identity 0 / 6

- + 6 pts Correct
- + 5 pts Minor error
- + 3 pts One part of counting argument or  $(x+y)^{n+m}$
- + 1 pts Attempted to use induction/binomial theorem/Pascal's identity
- ✓ + 0 pts Incorrect

**Final**Name: Eugene Lo.Student ID: 905 108 98 2

Section:            Tuesday:            Thursday:

                         1A                    **1B**                    TA: Albert Zheng

                         1C                    1D                    TA: Benjamin Spitz

                         1E                    1F                    TA: Eilon Reisin-Tzur

**Instructions:** Do not open this exam until instructed to do so. Please print your name and student ID number above, and circle the number of your discussion section. **You may not use calculators, books, notes, or any other material to help you.** Please make sure **your phone is silenced** and stowed where you cannot see it. Remember that you are bound by a conduct code.

**Please get out your id and be ready to show it during the exam.**

Please do not write below this line.

| Question | Points | Score |
|----------|--------|-------|
| 1        | 10     |       |
| 2        | 10     |       |
| 3        | 10     |       |
| 4        | 10     |       |
| 5        | 10     |       |
| 6        | 10     |       |
| 7        | 10     |       |
| 8        | 10     |       |
| 9        | 10     |       |
| Total:   | 90     |       |

1. (10 points) Circle the correct answer (only one answer is correct for each question)

1.  $\frac{n!}{k!(n-k)!} + \frac{n!}{(k+1)!(n-k-1)!} =$

(a)  $\frac{(n+k)!}{k!n!}$

(b)  $\frac{(n+1)!}{k!(n+1-k)!}$

(c)  $\frac{(n+1)!}{(k+1)!(n-k)!}$

(d) none of the above

$$\frac{n!(k+1)}{(k+1)k!(n-k)(n-k-1)!} + \frac{n!(n-k)}{(k+1)(k!)(n-k-1)!(n-k)}$$

$$\frac{n!(k+1) + n!(n-k)}{(k+1)!(n-k)!}$$

$$\frac{n!(k+1+n-k)}{(k+1)!(n-k)!} = \frac{n!(n+1)}{(k+1)!(n-k)!}$$

2. The decision tree of a sorting algorithm for sorting  $n$  items (where at each step we can only decide whether or not one item is less than other) necessarily has:

(a) a height of  $\geq \lg(n!)$

(b) a height of  $\Omega \lg(n!)$  (but not necessarily a height of  $\geq \lg(n!)$ )

(c) a height of  $O(\lg(n!))$

(d) a height of  $O(n \lg n)$

$$\frac{n+1!}{(k+1)!(n-k)!}$$

$$n \leq$$

$$n! \leq 2^h$$

$$\lg n! \leq h$$

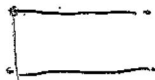
3. If  $G$  is a graph with  $n$  vertices and  $n - 2$  edges, then:

(a)  $G$  is a tree

(b)  $G$  is connected

(c)  $G$  is disconnected

(d)  $G$  is simple



Question 1 continued...

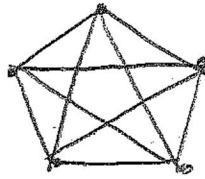
4. Which of these graphs has an Euler cycle?

(a)  $K_4$

(b)  $K_5$

(c)  $K_{3,3}$

(d)  $K_{2,3}$



5. What is the *fewest* number of edges (i.e. in the best case) that could be examined by Dijkstra's algorithm on a graph with  $n$  vertices? (We examine edges in the part of the algorithm where we update labels.)  
Your answer should be true for all  $n$ .

(a) Less than or equal to  $n$

(b) More than  $n$  but less than or equal to  $n^2/2$

(c) More than  $n^2/2$  but less than or equal to  $n^2$

(d) More than  $n^2$



$$\frac{n(n-1)}{2}$$

$$s_n = s_{n-1} + 6s_{n-2}$$

$$s^2 = s + 6$$

$$s^2 - s - 6 = (s-3)(s+2)$$

2. In this question write down your answer, no need for any justification.

Leave your answers in a form involving factorials,  $P(n, m)$ ,  $\binom{n}{m}$ , exponents, etc.

(a) (2 points) If  $s_n = s_{n-1} + 6s_{n-2}$  and  $s_0 = 2, s_1 = 1$ , what is  $s_{100}$ ?  $s_n = A(3)^n + B(-2)^n$

$$3^{100} + (-2)^{100}$$

$$\begin{aligned} 2 &= A + B \\ 1 &= 3A - 2B \\ 4 &= 2A + 2B \end{aligned}$$

(b) (2 points) How many ways can 7 distinct math majors and 4 distinct CS majors sit in a circle, if the CS majors won't sit by each other and we say that two seatings are the same if they are related by a rotation?

$$6! \times P(8, 4)$$

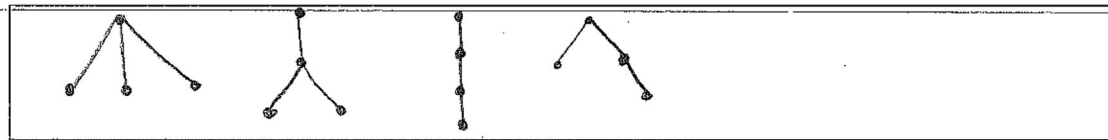
$$\begin{aligned} 5 &= 5A \\ A &= 1 \\ B &= 1 \\ s_n &= 3^n + (-2)^n \end{aligned}$$

(c) (2 points) A squirrel has 20 identical acorns that she is going to hide among 5 distinct holes. In how many ways can the squirrel hide the acorns?

$$\binom{20+5-1}{5-1} = \binom{24}{4}$$

$$\frac{7!}{7} \frac{7 \times 6!}{7} \quad 6! \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0 \quad 0$$

(d) (2 points) Draw all the distinct (up to isomorphism) rooted trees with 4 vertices. Please put the root at the top.



(e) (2 points) What is the number of relations that are symmetric or reflexive on a set with  $n$ -elements?

$$2^{n^2-n} + 2^{\frac{n^2-n}{2}}$$

$$n^2 \text{ elements in relation}$$

$$2^{n^2-n}$$

1 2 21 11 22



3. Consider the relation on the real numbers defined by  $C = \{(x, y) \in \mathbb{R} \times \mathbb{R} : x - y \in \mathbb{Z}\}$ .

(a) (4 points) Show that  $C$  is an equivalence relation.

Reflexive: For all  $(x, x) \in \mathbb{R} \times \mathbb{R}$ ,  $(x, x) \in C$ ,  
 $x - x = 0$ , and  $0 \in \mathbb{Z}$ , so  $(x, x) \in C$ . ✓

Symmetric: For  $(x, y) \in \mathbb{R} \times \mathbb{R}$ , if  $(x, y) \in C$ , then  
 $(y, x) \in C$

$(x, y) \in C$  if  $x - y \in \mathbb{Z}$

then  $-(y - x) \in \mathbb{Z}$ , which is just  
the negative of  $y - x$ , which is  
still an integer. Therefore,  
 $(y, x) \in C$ . ✓

Transitive: For  $(x, y) \in \mathbb{R} \times \mathbb{R}$  and  $(y, z) \in \mathbb{R} \times \mathbb{R}$ ,  
if  $(x, y) \in C$  and  $(y, z) \in C$ , then  
 $(x, z) \in C$ .

If  $(x, y) \in C$ , then  $x - y \in \mathbb{Z}$ .

If  $(y, z) \in C$ , then  $y - z \in \mathbb{Z}$ .

Need to show  $x - z \in \mathbb{Z}$

If  $x - y \in \mathbb{Z}$  and  $y - z \in \mathbb{Z}$ , then they should  
add together to form an integer (addition  
property of integers)

$$x - y + y - z = x - z, \text{ so } x - z \in \mathbb{Z} \quad \checkmark$$

Therefore,  $C$  is an equivalence relation.

- (b) (4 points) Let  $\tilde{\mathbb{R}}$  denote the set of equivalence classes of  $\mathbb{R}$ , i.e.  $\tilde{\mathbb{R}} = \{[x] : x \in \mathbb{R}\}$ . Consider the function  $f : \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = x + 1/2$ .

Show that the relation  $\tilde{f}$  from  $\tilde{\mathbb{R}}$  to  $\tilde{\mathbb{R}}$  defined by  $\tilde{f} = \{([a], [b]) \in \tilde{\mathbb{R}} \times \tilde{\mathbb{R}} : f(a) = b\}$  is a function.

If  $\tilde{f}$  is a function, then it uniquely maps every element of  $[a]$  to one of  $[b]$ .

For  $[a], [b], [c], [d] \in \tilde{\mathbb{R}}$

$$\tilde{f} = \{([a], [b]) \in \tilde{\mathbb{R}} \times \tilde{\mathbb{R}} : f(a) = b\}$$

$$\tilde{f} = \{([c], [d]) \in \tilde{\mathbb{R}} \times \tilde{\mathbb{R}} : f(c) = d\}$$

Consider  $f(a) = a + 1/2 = b$   
 $f(c) = c + 1/2 = d$

If  $a = c$  for  $a \in [a]$  and  $c \in [c]$ , then  
 $f(c) = c + 1/2 = b$   
 $f(c) = c + 1/2 = d$ , so  $b = d$ .

Therefore,  $[a] \subseteq [c]$

If  $c = a$  for  $a \in [a]$  and  $c \in [c]$ , then  
 $f(a) = a + 1/2 = b$   
 $f(a) = a + 1/2 = d$ , so  $b = d$ .

Therefore,  $[c] \subseteq [a]$ , so  $[a] = [c]$ .

Therefore, the function  $\tilde{f}$  defined by  $\tilde{f} = \{([a], [b]) \in \tilde{\mathbb{R}} \times \tilde{\mathbb{R}} : f(a) = b\}$  uniquely maps  $[a]$  to  $[b]$ , and it is a function.

- (c) (2 points) Give an example of a function  $g : \mathbb{R} \rightarrow \mathbb{R}$  so that the relation  $\tilde{g}$  from  $\tilde{\mathbb{R}}$  to  $\tilde{\mathbb{R}}$  defined by  $\tilde{g} = \{([a], [b]) \in \tilde{\mathbb{R}} \times \tilde{\mathbb{R}} : g(a) = b\}$  is **not** a function. (Be sure to justify your answer.)

$g : \mathbb{R} \rightarrow \mathbb{R}$   $g(x) = \sqrt{x}$

There are some values of  $x$ , such as  $5$ , that are not taken to a real number.  $g(5) = \sqrt{5}$ , and  $\sqrt{5}$  is not a real number. As a result,  $g : \mathbb{R} \rightarrow \mathbb{R}$  is not a function.

4. For  $m$  a positive integer, a *full  $m$ -ary tree* is a rooted tree where every parent has exactly  $m$  children.

(a) (5 points) If  $T$  is a full  $m$ -ary tree with  $i$  internal vertices, how many terminal vertices does  $T$  have?

1 internal  
9 terminal

5 internal  
16 terminal

$i$  internal vertices

If each internal vertex has  $m$  children, then there are  $im + 1$  vertices total, with 1 being the root vertex.

Subtract out  $i$  internal vertices to get the # of terminal vertices of  $T$

terminal vertices:  $m \cdot i - i + 1$

(b) (5 points) Show that if  $T$  is a full  $m$ -ary tree of height  $h$  with  $t$  terminal vertices, then  $t \leq m^h$ .

If an  $m$ -ary tree has a height  $h$  with  $t$  terminal vertices, then the root vertex will have  $m$  subtrees of height  $h-1$ , with each child of the root vertex being the root vertex of the subtree. The sum of the terminal vertices of each subtree is  $\geq$  the # of the terminal vertices  $t$ .

$$t \leq \underbrace{m^{h-1} + m^{h-1} + m^{h-1} + \dots + m^{h-1}}_{m \text{ terms}} = m \cdot (m^{h-1}) = m^h$$

therefore,  $t \leq m^h$ .

(the  $\leq$  sign is for if not all branches reach the height of  $h$ ;  $m^h$  is worst case).

5. (a) (6 points) Show that if  $G$  is a connected weighted graph where all the edges of  $G$  have distinct weights then  $G$  has a unique minimal spanning tree.



Let  $T$  be a unique minimal spanning tree of  $G$ . We want to prove that if  $G$  has distinct weights then  $G$  has a unique minimal spanning tree, which is  $T$ .

By contradiction, let us try to prove that  $T$  is not a unique minimal spanning tree. Say we have an edge  $e$  that is not an edge in  $T$  ( $e \notin T$ ).

If we add  $e$  to  $T$  to form a new subgraph  $T'$ , then  $T'$  must have a cycle that involves edge  $e$ . (If a tree has  $n$  vertices, it must have  $n-1$  edges to be acyclic; if we add an edge, there will be a cycle).

The subgraph of  $T'$  contains an edge  $e'$ , where  $e' \in T$  and it has vertex incident on it that is also incident on  $e$ .



If we remove  $e'$ , we will make a new tree  $T''$ .

Because  $G$  has distinct weights,  $\text{weight}(e) \neq \text{weight}(e')$ .  
 If  $\text{weight}(e) < \text{weight}(e')$ , then we get that  
 $\text{weight}(T'') = \text{weight}(T) + \text{weight}(e) - \text{weight}(e') < \text{weight}(T)$

However, it is given that  $T$  is the minimum spanning tree, so  $\text{weight}(T) < \text{weight}(T'')$ ; so we arrive at a contradiction.

Therefore,  $\text{weight}(e) > \text{weight}(e')$  and  $\text{weight}(T'') > \text{weight}(T)$ , so  $T$  is a unique minimal spanning tree.  $\square$

- (b) (4 points) Give an example of a connected weighted graph  $G$  so that all the edges of  $G$  have distinct weights and  $G$  has at least two distinct spanning trees that have the same total weight, i.e. the sums of the weights of the edges in these two distinct trees agree, or prove that no such weighted graph exists.

~~No such weighted graph exists~~

~~In the previous example, we showed that in a connected graph  $G$  with all edges having distinct weights will be a unique minimal spanning tree.~~

~~induction, let us say that a graph like  $G$  has two identical sums for different trees. These spanning~~

~~$G$~~

~~$G$~~

---

tree 1:


Sum = 12

tree 2:

Sum = 12

6. (a) (3 points) Show that for  $G$  a connected simple planar graph containing a cycle if  $G$  has  $E$  edges and  $F$  faces, then  $2E \geq 3F$ .

If  $G$  is a connected simple planar graph, then  $2E \geq 3F$ . This is because at least 3 edges are needed to form a cycle, which makes a face, and each edge is a boundary for 2 faces (hence  $2E$ ), so they are counted twice.

(The  $\geq$  sign accounts for stray edges that are not part of any cycle, i.e. )

- (b) (3 points) Show that for  $G$  a connected simple planar graph containing a cycle if  $G$  has  $E$  edges and  $V$  vertices, then  $E \leq 3V - 6$ .

As shown above,  $2E \geq 3F$

According to Euler's theorem, if  $G$  is a planar graph, then  $V - E + F = 2$ .

We can use these two expressions to get  $E \leq 3V - 6$ .

$$2E \geq 3F$$

$$V - E + F = 2$$

$$F = 2 + E - V$$

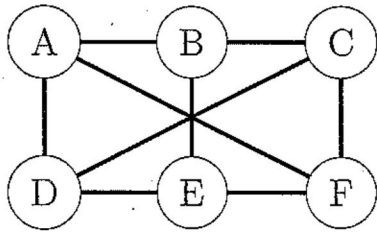
$$2E \geq 3(2 + E - V)$$

$$2E \geq 6 + 3E - 3V$$

$$3V - 6 \geq E$$

$$E \leq 3V - 6$$

(c) (4 points) Is the following graph planar? If it is give a planar drawing of it. If not, prove that it is not planar.



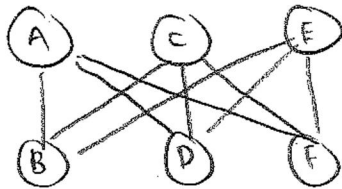
not planar; it is  $K_{3,3}$

We can split the vertices into two disjoint sets,  $V_1$  and  $V_2$

$V_1 = \{A, C, E\}$  and  $V_2 = \{B, D, F\}$ .

A, C, and E do not have edges connecting them, and B, D, F do not either, but A, C, E are connected by edges to all of B, D, and F, so it is a bipartite graph.

The above graph can be redrawn as such:



this is  $K_{3,3}$

therefore, not planar.

7. (a) (5 points) Show that for all  $n \geq 1$ ,  $7^n - 1$  is divisible by 6.

base case:  $n=1$   $7^1 - 1 = 6$  which is divisible by 6  
 base case is true ✓

inductive step: we know  $7^n - 1$  is divisible by 6 for  $n \geq 1$   
 want to show  $7^{n+1} - 1$  is divisible by 6.

$$7^{n+1} - 1 = 7^{n+1} - 7 + 6$$

$$= 7(7^n - 1) + 6$$

$\underbrace{\hspace{1.5cm}}_{\text{divisible by 6}} \quad \underbrace{\hspace{1.5cm}}_{\text{6 is divisible by 6}}$

Any multiple of an integer that is divisible by 6 is also divisible by 6. Additionally, the sum of two integers div. by 6 is also div. by 6. Therefore,  $7(7^n - 1) + 6$  is divisible by 6.  $\square$

(b) (5 points) Show that there is a number of the form  $\sum_{i=0}^n 10^i$  (i.e. a number consisting only of 1s) that is divisible by 7.

$n=1$   
 $\sum_{i=0}^1 10^i = 1 + 10 = 11$   
 5714  
 pigeonhole?.

There are 7 possible remainders when dividing by 7: 0, ..., 6.

Because of this, there will eventually be a number of the form  $\sum_{i=0}^n 10^i$  that is divisible by 7, because eventually, there will be a remainder 4, 6, 5, 2, or 0 (pigeonhole principle).

Ex:  $n=5$   
 $\sum_{i=0}^5 10^i = 111111$  (see above left) is divisible by 7.

$$\begin{array}{r}
 15873 \\
 7 \overline{) 111111} \\
 \underline{-7} \phantom{11111} \\
 41 \phantom{1111} \\
 \underline{-35} \phantom{111} \\
 61 \phantom{11} \\
 \underline{-56} \phantom{1} \\
 51 \\
 \underline{-49} \\
 21 \\
 \underline{21} \\
 0
 \end{array}$$




8. A *balanced binary tree* is a binary tree where for each vertex the heights of the left and right subtrees of that vertex differ by at most one. Let  $v_n$  denote the minimum number of vertices in a balanced binary tree of height  $n$ .

(a) (4 points) Show that  $v_n$  satisfies for  $n \geq 2$  the recurrence  $v_n = v_{n-1} + v_{n-2} + 1$

If  $V_n$  is the minimum number of vertices in a balanced binary tree of height  $n$ , then the root vertex of  $V_n$  has a left and right subtree that differ by at most one. One subtree has a minimum of  $V_{n-1}$  vertices (with height  $n-1$ ) and the other has  $V_{n-2}$  minimum number of vertices (since they differ by at most 1) (with height  $n-2$ ).

Thus, the recurrence relation shows that the minimum number of vertices in a balanced binary tree of height  $n$  is the sum of the minimum # of vertices in the left and right subtrees of the root vertex's children, plus the root vertex.

$$V_n = V_{n-1} + V_{n-2} + 1$$

$V_0 = 1$  ← the root vertex     $V_1 = 2$      $V_2 = 1+2+1 = 4$  

(b) (3 points) Show that for  $n \geq 0$ ,  $v_n = F_{n+3} - 1$ , where  $F_k$  is the  $k^{\text{th}}$  Fibonacci number.

$\therefore V_n = F_{n+3} - 1$      $F_0 = 0, F_1 = 1, F_2 = 1, F_3 = 2$

$F_{n+3} = V_n + 1$      $V_0 = F_3 - 1 = 2 - 1 = 1$

Fibonacci sequence follows the recurrence relation     $V_1 = F_4 - 1 = 3 - 1 = 2$

$F_{n+3} = F_{n+2} + F_{n+1}$      $V_2 = F_5 - 1 = 5 - 1 = 4$

$V_n + 1 = V_{n-1} + 1 + V_{n-2} + 1$

$V_n$  follows the same recurrence relation

$V_n + 1 = V_{n-1} + V_{n-2} + 2$

$V_n = V_{n-1} + V_{n-2} + 1$  ← this is shown in the above example to be true  $\square$

(c) (3 points) Show that  $v_n = \Theta(\phi^{n+2})$ , where  $\phi = \frac{1+\sqrt{5}}{2}$ .

$$V_n = V_{n-1} + V_{n-2} + 1 \geq V_{n-1} + V_{n-2}$$

$$V_n = V_{n-1} + V_{n-2} + 1$$

if  $V_n = X^2$       solve w/ constant      and w/o constant

$$X^2 = X + 1$$

$$X^2 - X - 1 = 0$$

$$\frac{1 \pm \sqrt{1+4}}{2}$$

$$X = \frac{1 \pm \sqrt{5}}{2}$$

$$V_n = A \left( \frac{1+\sqrt{5}}{2} \right)^n + B \left( \frac{1-\sqrt{5}}{2} \right)^n$$

$$V_0 = 1$$

$$V_1 = 2$$

$$1 = A + B$$

$$2 = \left( \frac{1+\sqrt{5}}{2} \right) A + \left( \frac{1-\sqrt{5}}{2} \right) B$$

$$\left( \frac{1+\sqrt{5}}{2} \right) = \left( \frac{1+\sqrt{5}}{2} \right) A + \left( \frac{1+\sqrt{5}}{2} \right) B$$

$$\left( \frac{1+\sqrt{5}}{2} = \frac{4}{2} \right) = \left( \frac{1+\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2} \right) B$$

$$\frac{1}{\sqrt{5}} = \frac{\sqrt{5}-3}{2} = \frac{2\sqrt{5}}{2} B$$

$$\frac{-3+\sqrt{5}}{2\sqrt{5}} = \frac{-3\sqrt{5}+5}{10} B$$

$$A = \frac{1}{\sqrt{5}} \quad B = \frac{-1}{\sqrt{5}}$$

$$V_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n + \frac{-1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n \quad \nearrow n+2 \approx n$$

$$\frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n + \frac{-1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n \leq \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^{n+2} = O(\phi^{n+2})$$

$$\frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n \geq \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^{n+2} = \Omega(\phi^{n+2}) \quad \text{so } v_n = \Theta(\phi^{n+2})$$

9. (a) (4 points) Show that  $\sum_{i=0}^n 2^i \binom{n}{i} = 3^n$ .

by the binomial theorem:

$$(1+2)^n = \sum_{i=0}^n \binom{n}{i} 1^{n-i} 2^i$$

so 
$$\underline{3^n = \sum_{i=0}^n \binom{n}{i} 2^i}$$

In other words,  $3^n$  is when you have ~~two~~ <sup>two</sup> subsets of  $X$ ,  $A$  and  $B$ , where  $A \subseteq B \subseteq X$ .

Each of the  $n$  elements has 3 options. It can be in

- 1) Both  $A$  and  $B$
- 2) In  $B$  but not  $A$
- 3) In neither  $A$  nor  $B$

$\sum_{i=0}^n 2^i \binom{n}{i}$  shows the options after making a subset  $B$  of  $n$ ,  $2^i$  (the element is there or not there),  
 Add up the number of elements in the subset  $A$  multiplied by the possible subsets  $2^i$ .

(b) (6 points) Show that  $\binom{n+m}{r} = \sum_{i=0}^r \binom{n}{i} \binom{m}{r-i}$ .

$$\binom{n+m}{r} = \sum_{i=0}^r \binom{n}{i} \binom{m}{r-i}$$

$$\binom{n+m}{r} = \binom{n+m-1}{r} + \binom{n+m-1}{r-1}$$

$$\sum_{i=0}^r \frac{n!}{i!(n-i)!} + \frac{m!}{(r-i)!(m-r+i)!}$$

$$\frac{(n+m)!}{r!(n+m-r)!}$$

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