

1. (10 points) (a) Which of the following is the QR-factorization of the matrix

$$A = \begin{bmatrix} 1 & 1 & 9 \\ -1 & 2 & -4 \\ -1 & 2 & 3 \\ 1 & 1 & 2 \end{bmatrix} ?$$

Circle your answer.

$$\begin{bmatrix} 1/2 & 1/2 & -1/2 \\ -1/2 & 1/2 & 1/2 \\ -1/2 & 1/2 & -1/2 \\ 1/2 & 1/2 & 1/2 \end{bmatrix} \begin{bmatrix} 2 & -1 & 6 \\ 0 & 3 & 5 \\ 0 & 0 & -7 \end{bmatrix} \quad \begin{bmatrix} 1/\sqrt{2} & 0 & -1/2 \\ 0 & 1/\sqrt{2} & -1/2 \\ 1/\sqrt{2} & 0 & 1/2 \\ 0 & 1/\sqrt{2} & 1/2 \end{bmatrix} \begin{bmatrix} 2 & 0 & 12 \\ 0 & 12 & 18 \\ 0 & 0 & 6\sqrt{2} \end{bmatrix}$$

$$\begin{bmatrix} 1 & -5 & 44 \\ 1 & -4 & 22 \\ 1 & -4 & 29 \\ 1 & -5 & 37 \end{bmatrix} \begin{bmatrix} 1 & 6 & 10 \\ 0 & 1 & 9 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1/2 & 1/2 & 1/2 \\ -1/2 & 1/2 & -1/2 \\ -1/2 & 1/2 & 1/2 \\ 1/2 & 1/2 & -1/2 \end{bmatrix} \begin{bmatrix} 2 & -1 & 6 \\ 0 & 3 & 5 \\ 0 & 0 & 7 \end{bmatrix}$$

(b) Write down the result of the Gram-Schmidt process on the vectors

$$\vec{v}_1 = \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}, \quad \vec{v}_2 = \begin{bmatrix} 1 \\ 2 \\ 2 \\ 1 \end{bmatrix}, \quad \vec{v}_3 = \begin{bmatrix} 9 \\ -4 \\ 3 \\ 2 \end{bmatrix}$$

12 $6 - \frac{5}{2}$
 $-\frac{5}{2} - \frac{5}{2} - 1 - \frac{5}{2}$
 $9 + 4 - 3 + 2$
 $13 - 3 + 2$
 $12/4 = 3$

You do not have to show your work (if you need more room, use Page 0). $\frac{2}{4} (x-2)(x+1)$

Box your answer

$$\|\vec{v}_1\| = \sqrt{1^2 + 1^2 + 1^2 + 1^2} = \sqrt{4} = 2$$

$$\vec{u}_1 = \frac{\vec{v}_1}{\|\vec{v}_1\|} = \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1/2 \\ -1/2 \\ -1/2 \\ 1/2 \end{bmatrix} \quad \vec{v}_2^\perp = \vec{v}_2 - (\vec{v}_2 \cdot \vec{u}_1) \vec{u}_1 = \begin{bmatrix} 1 \\ 2 \\ 2 \\ 1 \end{bmatrix} - \left(\begin{bmatrix} 1 \\ 2 \\ 2 \\ 1 \end{bmatrix} \cdot \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix} \right) \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$$

$-\frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$
 $\frac{1}{4} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$
 $9 - 4 + 3 + 2$
 $5 + 3 + 2$
 10

$$\vec{u}_2 = \frac{\vec{v}_2^\perp}{\|\vec{v}_2^\perp\|} = \frac{1}{3} \begin{bmatrix} 3/2 \\ 3/2 \\ 3/2 \\ 3/2 \end{bmatrix} = \begin{bmatrix} 1/2 \\ 1/2 \\ 1/2 \\ 1/2 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 2 \\ 1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$$

$$\sqrt{\frac{9}{4} + \frac{9}{4} + \frac{9}{4} + \frac{9}{4}} = \sqrt{\frac{36}{4}} = \sqrt{9} = 3$$

$$\vec{u}_3 = \frac{\vec{v}_3^\perp}{\|\vec{v}_3^\perp\|} = \frac{1}{7} \begin{bmatrix} 1/2 \\ -1/2 \\ 1/2 \\ -1/2 \end{bmatrix} = \begin{bmatrix} 1/2 \\ -1/2 \\ 1/2 \\ -1/2 \end{bmatrix}$$

$$\vec{v}_3^\perp = \vec{v}_3 - (\vec{v}_3 \cdot \vec{u}_1) \vec{u}_1 - (\vec{v}_3 \cdot \vec{u}_2) \vec{u}_2 = \begin{bmatrix} 9 \\ -4 \\ 3 \\ 2 \end{bmatrix} - \left(\begin{bmatrix} 9 \\ -4 \\ 3 \\ 2 \end{bmatrix} \cdot \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix} \right) \frac{1}{2} \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix} - \left(\begin{bmatrix} 9 \\ -4 \\ 3 \\ 2 \end{bmatrix} \cdot \frac{1}{3} \begin{bmatrix} 3/2 \\ 3/2 \\ 3/2 \\ 3/2 \end{bmatrix} \right) \frac{1}{3} \begin{bmatrix} 3/2 \\ 3/2 \\ 3/2 \\ 3/2 \end{bmatrix}$$

$$\begin{bmatrix} 1/2 \\ -1/2 \\ -1/2 \\ 1/2 \end{bmatrix}, \begin{bmatrix} 1/2 \\ 1/2 \\ 1/2 \\ 1/2 \end{bmatrix}, \begin{bmatrix} 1/2 \\ -1/2 \\ 1/2 \\ -1/2 \end{bmatrix}$$

form an o.n.b.

$$= \begin{bmatrix} 9 \\ -4 \\ 3 \\ 2 \end{bmatrix} - \begin{bmatrix} 3 \\ -3 \\ -3 \\ 3 \end{bmatrix} - \begin{bmatrix} 5/2 \\ 5/2 \\ 5/2 \\ 5/2 \end{bmatrix}$$

$$= \begin{bmatrix} 1/2 \\ -1/2 \\ 1/2 \\ -1/2 \end{bmatrix}$$

2. (10 points) Consider the matrix

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 0 \end{bmatrix}.$$

(a) Suppose that \vec{v} is any nonzero vector in \mathbb{R}^2 . Explain why the vectors \vec{v} , $A\vec{v}$, and $A^2\vec{v}$ must be linearly dependent. (Note: do not use any numerical examples in your answer; your reasoning must be valid no matter what \vec{v} is.)

2 linearly independent vectors span \mathbb{R}^2 , so ≥ 1 of the vectors in \vec{v} , $A\vec{v}$, and $A^2\vec{v}$ must be a linear combination of the other two, thus they are linearly dependent. The max. number of linearly independent vectors in the basis of \mathbb{R}^2 is 2.

(b) Let $\vec{v} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$. Part (a) shows that there are scalars c_0 , c_1 , and c_2 such that

$$c_0\vec{v} + c_1A\vec{v} + c_2A^2\vec{v} = \vec{0}. \quad (1)$$

Explain why this shows that the matrix $c_0I + c_1A + c_2A^2$ is not invertible.

$$A\vec{v} = \begin{bmatrix} 1 & 2 \\ 3 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}$$

$$A^2\vec{v} = \begin{bmatrix} 1 & 2 \\ 3 & 0 \end{bmatrix} \begin{bmatrix} 1 & 2 \\ 3 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 7 & 2 \\ 3 & 6 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 6 \end{bmatrix}$$

$c_0I + c_1A + c_2A^2$ is not invertible because there exist nonzero scalars that cause the sum to be the zero matrix, meaning that there are infinitely many vectors in $\text{Ker}(c_0I + c_1A + c_2A^2)$, so $\text{Ker} \neq \{\vec{0}\}$, implying it is not invertible.

(c) Let $\vec{v} = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$. Find scalars c_0 , c_1 , and c_2 such that (1) holds. Box your answer

$$A\vec{v} = \begin{bmatrix} 2 \\ 0 \end{bmatrix}$$

$$A^2\vec{v} = \begin{bmatrix} 2 \\ 6 \end{bmatrix}$$

$$c_0\vec{v} + c_1A\vec{v} + c_2A^2\vec{v} = \vec{0}$$

$$c_0 \begin{bmatrix} 0 \\ 1 \end{bmatrix} + c_1 \begin{bmatrix} 2 \\ 0 \end{bmatrix} + c_2 \begin{bmatrix} 2 \\ 6 \end{bmatrix} = \vec{0}$$

$$6 \begin{bmatrix} 0 \\ 1 \end{bmatrix} + 1 \begin{bmatrix} 2 \\ 0 \end{bmatrix} - 1 \begin{bmatrix} 2 \\ 6 \end{bmatrix} = \vec{0}$$

$$\begin{bmatrix} c_0 = 6 \\ c_1 = 1 \\ c_2 = -1 \end{bmatrix}$$

3. (10 points) You do not need to show work on this page. Consider the matrix

$$A = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & -3 & 3 & 0 & 0 \\ 1 & 1 & -1 & 4 & -2 \end{bmatrix}$$

\uparrow redundant \uparrow redundant

(a) Find a basis for the image of A . Box your answer

basis of $\text{im } A = \left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ -3 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 4 \end{bmatrix} \right)$

(b) What does the rank-nullity theorem say in this case?
 (Your answer should be a simple equation of the form $1 + 1 = 2$).

$$\boxed{3 + 2 = 5}$$

\uparrow rank(A) \uparrow nullity(A) \swarrow m where A is n x m matrix

(c) Find a basis for the kernel of A . Box your answer

$$\begin{bmatrix} 0 \\ 3 \\ -1 \end{bmatrix} = 0 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - 1 \begin{bmatrix} 0 \\ -3 \\ 1 \end{bmatrix} + 0 \begin{bmatrix} 0 \\ 0 \\ 4 \end{bmatrix} + 0 \begin{bmatrix} 0 \\ 0 \\ -2 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 0 \\ -2 \end{bmatrix} = 0 \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - 0 \begin{bmatrix} 0 \\ -3 \\ 1 \end{bmatrix} + 0 \begin{bmatrix} 0 \\ 3 \\ -1 \end{bmatrix} - \frac{1}{2} \begin{bmatrix} 0 \\ 0 \\ 4 \end{bmatrix}$$

basis of $\text{ker } A = \left(\begin{bmatrix} 0 \\ -1 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ -1/2 \\ 1 \end{bmatrix} \right)$

4. (10 points) True/False (circle the correct answer). You do not need to justify your answer.

Remember that True means always true. If a statement is sometimes true, but sometimes false, mark it False.

* (a) If A is an invertible matrix then $\ker(A) = \ker(A^{-1})$. $\ker(A) = A\vec{x} = \vec{0}$
 $\ker(A^{-1}) = A^{-1}\vec{x} = \vec{0}$

True False

$ad-bc=1$ $A = \begin{bmatrix} 1 & 2 \\ 0 & 1 \end{bmatrix}$ $A^{-1} = \begin{bmatrix} 1 & -2 \\ 0 & 1 \end{bmatrix}$ $A^2 = \begin{bmatrix} 1 & 4 \\ 0 & 1 \end{bmatrix}$ $A\vec{x} = \vec{0}$ $A^{-1}\vec{v} = \vec{0}$ $A\vec{x} = A^{-1}\vec{v}$ $A^2\vec{x} = AA^{-1}\vec{v}$ $A^2\vec{x} = \vec{v}$

(b) If A is an orthogonal matrix then $A^T A$ is also an orthogonal matrix.

True False

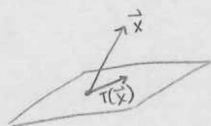
$A^T A = I$

(c) There exists a subspace V of \mathbb{R}^5 such that $\dim(V) = \dim(V^\perp)$, where V^\perp denotes the orthogonal complement of V .

True False

* (d) If V is a two-dimensional subspace of \mathbb{R}^3 (a plane) then there is a basis B such that the B -matrix of the linear transformation $T = \text{proj}_V : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ has the form

$V = \text{span}(\vec{v}_1, \vec{v}_2)$
 $B = \begin{bmatrix} | & | \\ A\vec{v}_1 & A\vec{v}_2 \\ | & | \end{bmatrix}$



$B = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$

$[\vec{x}] = S[\vec{x}]_B$ $B = S^{-1}AS$
 $T(\vec{x}) = B[T(\vec{x})]_B$

True False

$\text{proj}_V(\vec{x}) = (\vec{x} \cdot \vec{u}_1)\vec{u}_1 + (\vec{x} \cdot \vec{u}_2)\vec{u}_2 + 0\vec{u}_3$

$\vec{x} \xrightarrow{A} T(\vec{x})$
 $S \uparrow \qquad \qquad \uparrow S$
 $[\vec{x}]_B \xrightarrow{B} [T(\vec{x})]_B$

(e) If A is a symmetric $n \times n$ matrix and S is an orthogonal $n \times n$ matrix then the matrix $S^{-1}AS$ is symmetric.

True False

$A^T = A$
 $S^{-1} = S^T$
 $(S^{-1}AS)^T = S^T A^T (S^{-1})^T$
 $= S^{-1}A(S^T)^T$
 $= S^{-1}AS$

5. (10 points) The following statements are the possible answers to questions (a) and (b) below.

(A) The linear system $A\vec{x} = \vec{0}$ has a unique solution

(B) $\dim(\text{im } A) + \dim(\text{ker } A) = n$

(C) $\text{rank}(A) = n$

(D) A is upper triangular

(E) The column vectors of A span \mathbb{R}^n

(F) $A^T A = I_n$ *A is orth. $\Rightarrow A$ invert.*

(G) $\text{rank}(A) = \text{rank}(A^T)$

(H) $A^n = 0$

(I) $\|A\vec{x}\| = \|\vec{x}\|$ for all \vec{x} in \mathbb{R}^n *A is orth. $\Rightarrow A$ invert.*

(a) Which of the statements above implies that the $n \times n$ matrix A is invertible?

There are five correct answers.

A

C

E

I

F

(b) Suppose that A is an invertible $n \times n$ matrix. Which of the properties above does A satisfy?

There are five correct answers.

B

C

E

A

G