

NAME \_\_\_\_\_

Name Withheld

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Midterm I – Mathematics 115A

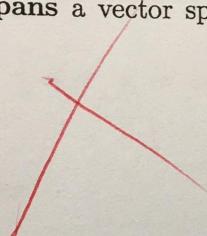
Friday, October 21, 2016

1	8
2	10
3	10
4	$2+3+1$
5	9
	43

1. Some quick questions - yes/no answers are fine.

(a) If  $S = \{v_1, \dots, v_n\}$  spans a vector space of dimension  $n$ , does  $S$  have to be linearly independent?

No.



(b) Is the set of polynomials such that  $p(1) = (18)^2 p(10) = p(5)$  a subspace of the vector space of polynomials?

Yes - closed under addition & scalar multiplication.

(c) Is  $\{4 + 4x + x^2, 1 + x, 5x - x^2, 1 + 3x^2\}$  linearly independent?

No. Too many vectors in  $P_2$ .

(d) If  $T$  is a linear transformation from a vector space  $V$  into  $V$ , is  $T^2$  defined by  $T^2(x) = T(T(x))$  also a linear transformation?

Yes.

(e) If  $T$  is a linear transformation from  $\mathbb{R}^3$  to  $\mathbb{R}^3$ , could it happen that  $N(T)$  was a subspace of  $R(T)$ ?

Yes.

2. (a) Assume that  $W_1$  and  $W_2$  are subspaces of a vector space  $V$ . Define  $W_1 + W_2$  and state the relation of its dimension to the dimensions of  $W_1$ ,  $W_2$  and  $W_1 \cap W_2$ .

$$W_1 + W_2 = \{x : x = w_1 + w_2, w_1 \in W_1, w_2 \in W_2\}$$

Dimension Theorem:

$$\dim(W_1 + W_2) = \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2).$$

(b) Continuing part (a): Assume that  $\dim(V) = n$ ,  $\dim(W_1) = n - 2$  and  $\dim(W_2) = n - 3$ . What is the smallest possible value for  $\dim(W_1 \cap W_2)$  when

(i)  $\dim(V) = 4$

$$\begin{aligned} \dim(W_1 + W_2) &= \dim(W_1) + \dim(W_2) - \dim(W_1 \cap W_2) \\ &= (n-2) + (n-3) - \dim(W_1 \cap W_2) \end{aligned}$$

$$\dim(W_1 + W_2) \leq n, \text{ so}$$

$$\begin{aligned} \dim(W_1 \cap W_2) &\geq (n-2) + (n-3) - n \\ &\geq n - 5 = -1. \text{ This is impossible.} \end{aligned}$$

(ii)  $\dim(V) \geq 5$

$$\dim(W_1 \cap W_2) \text{ can be } 0.$$

By the previous analysis,

$$\dim(W_1 \cap W_2) \geq n - 5.$$

So  $\dim(W_1 \cap W_2)$  can be as small as  $n - 5$ .

3. Assume that  $T$  is a linear transformation of  $P_2$  into  $P_3$  and in terms of the ordered bases  $\alpha = \{1, x, x^2\}$  and  $\beta = \{1, x, x^2, x^3\}$ , the matrix

$$[T]_{\alpha}^{\beta} = \begin{pmatrix} 1 & 0 & 1 \\ 0 & -1 & 1 \\ 0 & 1 & 1 \\ -1 & 1 & 0 \end{pmatrix}.$$

What is  $T(a + bx + cx^2)$ ?

$$T(1) = 1(1) + 0(x) + 0(x^2) + (-1)x^3 = 1 - x^3$$

$$T(x) = 0(1) + (-1)(x) + 1(x^2) + 1(x^3) = -x + x^2 + x^3$$

$$T(x^2) = 1(1) + 1(x) + 1(x^2) + 0(x^3) = 1 + x + x^2$$

Thus  $T(a + bx + cx^2)$

$$= [T]_{\alpha}^{\beta} \begin{bmatrix} a \\ b \\ c \end{bmatrix}_{\alpha} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & -1 & 1 \\ 0 & 1 & 1 \\ -1 & 1 & 0 \end{bmatrix} \begin{bmatrix} a \\ b \\ c \end{bmatrix} = \begin{bmatrix} a+c \\ -b+c \\ b+c \\ -a+b \end{bmatrix}_{\beta}$$

$$= \boxed{(a+c) + (-b+c)x + (b+c)x^2 + (-a+b)x^3}$$

4. Given that  $T$  is a linear transformation mapping the vector space  $V$  into the vector space  $W$ ,

(a) Define the null space  $N(T)$ .

$$N(T) = \{v \in V : T(v) = 0\} \quad \checkmark$$

$\exists, \text{ not } \forall$

(b) Define the range space,  $R(T)$ , and prove that it is a subspace.

$$R(T) = \{w \in W : \exists v \in V \text{ s.t. } T(v) = w\}$$

To show  $R(T)$  is a subspace, we show closure under addition and scalar multiplication.

Suppose  $w_1, w_2 \in R(T)$ .

$$\text{Then } w_1 = T(v_1), w_2 = T(v_2).$$

$$\text{By linearity, } w_1 + w_2 = T(v_1) + T(v_2) = T(v_1 + v_2), \text{ so } w_1 + w_2 \in R(T).$$

Suppose  $w_1 \in R(T)$ ,  $c \in F$ .

$$\text{Then } cw_1 = T(v_1) \quad \checkmark$$

$$\text{By linearity, } cw_1 = cT(v_1) = T(cv_1), \text{ so } cw_1 \in R(T). \quad \checkmark$$

(c) State (precisely) the theorem that relates the dimension of  $R(T)$  to the dimension of  $N(T)$ , and prove it.

The Rank-Nullity Theorem states that

$$\boxed{\dim(N(T)) + \dim(R(T)) = \dim(V)}$$

Let  $\alpha = \{v_1, \dots, v_k\}$  be a basis for  $N(T)$ .  $\dim(N(T)) = k$ .

Let  $\beta = \{w_1, \dots, w_\ell\}$  be a basis for  $R(T)$ .

Consider a vector  $x \in V$ .

$$\text{If } T(x) = 0:$$

$x \in N(T)$  by definition. Then  $x = a_1v_1 + \dots + a_kv_k$ ,  $a_i \neq 0$ .  
The space of choices for  $x$  is of dimension  $k$ .

$T(x) \in R(T)$   $\left( \begin{array}{l} \text{If } T(x) \neq 0: \\ T(x) \in R(T) \end{array} \right)$  by definition. Then  $T(x) = b_1w_1 + \dots + b_\ell w_\ell$ ,  $b_i \neq 0$ .  
The space of choices for  $x$  is of dimension  $\ell$ .

Therefore,  $k + \ell = \dim(V)$ , the space of choices for  $x$ .

$$\text{and } \dim(N(T)) + \dim(R(T)) = \dim(V).$$

No, set of  $x$   
such that  $Tx \neq 0$   
is not a subspace  
It is  $V \setminus (N(T))^C$   
( $S^C$  means the  
vectors not in  $S$ )

5. Assume that  $w \neq 0$  and that  $w$  belongs to the span of  $S = \{v_1, \dots, v_p\}$  in the vector space  $V$ . Prove that you can replace one of the vectors in  $S$  by  $w$  without changing the span of  $S$ .

$$w \in \text{span}(S).$$

So  $w = \alpha_1 v_1 + \dots + \alpha_p v_p$  for some  $\alpha_1, \dots, \alpha_p \in F$ .

Because  $w \neq 0$ , there exists some  $k$  s.t.  $\alpha_k \neq 0$ .

$$w = \alpha_1 v_1 + \dots + \alpha_k v_k + \dots + \alpha_p v_p.$$

We solve for  $v_k$ :

$$-\alpha_k v_k = -w + \alpha_1 v_1 + \dots + \alpha_{k-1} v_{k-1} + \alpha_{k+1} v_{k+1} + \dots + \alpha_p v_p.$$

$$v_k = \frac{1}{\alpha_k} w + -\frac{1}{\alpha_k} (\alpha_1 v_1 + \dots + \alpha_{k-1} v_{k-1} + \alpha_{k+1} v_{k+1} + \dots + \alpha_p v_p). \checkmark$$

So  $v_k$  is a linear combination of  $\{w, v_1, \dots, v_{k-1}, v_{k+1}, \dots, v_p\}$ .

and by definition,  $v_k \in \text{span}(\{w, v_1, \dots, v_{k-1}, v_{k+1}, v_p\})$ .

Consider an arbitrary vector  $x \in \text{span}(S)$ .

$$x = b_1 v_1 + \dots + b_k v_k + b_p v_p \text{ for some } b_1, \dots, b_p \in F.$$

Replace  $v_k$  with that expression:

$$x = b_1 v_1 + \dots + b_k \left( \frac{1}{\alpha_k} w + -\frac{1}{\alpha_k} (\alpha_1 v_1 + \dots + \alpha_{k-1} v_{k-1} + \alpha_{k+1} v_{k+1} + \dots + \alpha_p v_p) \right) + \dots + b_p v_p.$$

Simplify:

$$\begin{aligned} x &= \frac{b_k}{\alpha_k} w + \left( b_1 - \frac{b_k}{\alpha_k} \alpha_1 \right) v_1 + \dots + \left( b_{k-1} - \frac{b_k}{\alpha_k} \alpha_{k-1} \right) v_{k-1} \\ &\quad + \left( b_{k+1} - \frac{b_k}{\alpha_k} \alpha_{k+1} \right) v_{k+1} + \dots + \left( b_p - \frac{b_k}{\alpha_k} \alpha_p \right) v_p. \end{aligned}$$

Then  $x \in \text{span}(\{w, v_1, \dots, v_{k-1}, v_{k+1}, \dots, v_p\})$ .

We can replace a vector in  $S$  by  $w$  without changing the span. \*

This shows the span of  $S$  with  $v_k$  replaced by  $w$  contains the span of  $S$ . You should show the converse, too.