

# SOLUTIONS

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UCLA Department of Electrical Engineering  
EE170C – Photonic Sensors and Solar Cells  
Spring 2016  
Midterm, May 4 2016, (1:40 minutes)

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Name \_\_\_\_\_ Student number \_\_\_\_\_

This is a closed book exam – you are allowed 1 page of notes (front+back).

Check to make sure your test booklet has all of its pages – both when you receive it and when you turn it in.

Remember – there are several questions, with varying levels of difficulty, be careful not to spend too much time on any one question to the exclusion of all others.

Exam grading: When grading, we focusing on evaluating your level of understanding, based on what you have written out for each problem. For that reason, you should make your work clear, and provide any necessary explanation. In many cases, a correct numerical answer with no explanation will not receive full credit, and a clearly explained solution with an incorrect numerical answer will receive close to full credit. **CIRCLE YOUR FINAL ANSWER.**

If an answer to a question depends on a result from a previous section that you are unsure of, be sure to write out as much of the solution as you can using symbols before plugging in any numbers, that way at you will still receive the majority of credit for the problem, even if your previous answer was numerically incorrect.

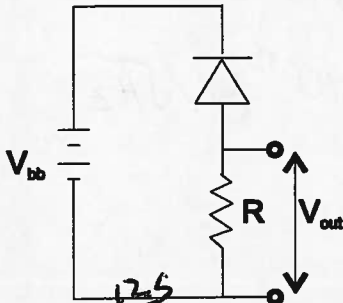
Please be neat – we cannot grade what we cannot decipher.

	Topic	Max Points	Your points
Problem 1	Photodetection	50	
Problem 2	Photodiode concepts	50	
Total		100	

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1. Photodetection (50 points)

Consider detection using a Silicon pin photodiode with area  $1.06 \times 1.06 \text{ mm}^2$  whose data sheet is shown in the back of the exam.



(a) (10 points) Given the specs on the data sheet, what is the photodetector quantum efficiency  $\eta$  at  $\lambda = 850 \text{ nm}$ ?

$$0 = 0.6 \text{ A/W} = \frac{\eta e}{h\nu} \quad h\nu = 1.46 \text{ eV}$$

$$\eta = 0.6 \times \frac{h\nu}{e} = 0.88$$

12.5  
(b) (10 points) Assume that this detector is viewing a 300K scene with a full  $2\pi$  steradian field of view (i.e. half-space). What is the background photocurrent produced  $I_{bg}$ ? Assume that the detector has a filter in front with a passband bandwidth of  $\Delta\lambda = 100 \text{ nm}$  with a central wavelength of  $\lambda = 850 \text{ nm}$ .

~~$$R(\nu) = \frac{2h\nu^3 \cos\theta}{c^2 (e^{h\nu/kT} - 1)} \quad R(\lambda) = \frac{2hc^2 \cos\theta}{\lambda^5 (e^{h\nu/kT} - 1)} \approx \frac{2hc^2 \cos\theta}{\lambda^5} e^{-h\nu/kT}$$~~

Total received power  $A \Omega = A' \Omega'$

~~$$h\nu/kT \gg 1 \quad e^{-h\nu/kT} \approx 4 \times 10^{-25}$$~~

~~$$\iint_{2\pi \text{ ster.}} R(\lambda) dA = \frac{2hc^2 \pi e^{-h\nu/kT}}{\lambda^5} \Delta\lambda = 3.3 \times 10^{-10} \Delta\lambda = 3.3 \times 10^{-23} \text{ W}$$~~

$$I_{bg} \approx 2 \times 10^{-23} \text{ A}$$

Very small!  
Negligible

- (c) (10 points) Based upon the dark current specs at 2V reverse voltage, and ignoring any contribution of Johnson noise from a load resistor, what is the NEP (in units  $W Hz^{-1/2}$ ), and what is the detectivity  $D^*$  (units  $cm Hz^{1/2} W^{-1}$ )?

$$I_d = 30 \mu A$$

$$I = \frac{\sigma NEP}{\sqrt{2eI_d B}}$$

$$NEP = \frac{\sqrt{2eI_d B}}{\sigma}$$

$$NEP = 5.2 \times 10^{-15} W/\sqrt{Hz}$$

$$D^* = \frac{\sqrt{AB}}{NEP} = 2 \times 10^{13} cm Hz^{1/2} / W$$

- (d) <sup>12.5</sup> (10 points) For  $R=50 \Omega$  and specs provided, what is the RC-time constant limited bandwidth of the detector? How does that compare with the listed cutoff frequency? Given that, what is your best guess about the physical mechanism that is limiting high frequency performance? Explain qualitatively.

$$f_{rc} = \frac{1}{2\pi RC} = 636 MHz$$

$$C = 5 pF @ -2V$$

This is much higher than  $f_{cutoff} \sim 10 MHz$  listed in specs.  
 RC is not the limit - it is more likely an intrinsic limit such as diffusion limited response.

- (e) <sup>12.5</sup> (10 points) Assume I am trying to measure the power of a 10mW laser operating at exactly  $\lambda=850 nm$ , using  $R=50\Omega$ . What is the Signal-to-noise ratio for current assuming  $B=1 Hz$ ? Is this the quantum-limited or thermal-limited detection regime?

$$I_{ph} = 0.060 A \gg I_d \text{ (neglect dark current)}$$

$$SNR = \frac{I_{ph}}{\sqrt{2eI_{ph} B + \frac{4kTB}{R}}}$$

$$= \frac{I_{ph}}{\sqrt{2eB} \sqrt{I_{ph} + \frac{2kT}{eR}}}$$

$$SNR = 4.33 \times 10^8$$

4

$$\frac{2kT}{eR} = 0.001 A$$

$$0.06 > 0.001 A$$

Quantum limited

## 2. (50 points) Photodiode concepts

(a) (12.5 points) Describe two advantages of a *pin* photodiode compared to a *pn* photodiode.

A *pin* photodiode has a depletion region with approximately constant E-field. This allows the E-field to be set at the optimum value to minimize transit time + increase speed.

A *pin* photodiode can choose the width of the depletion region by choosing the "i" layer thickness, independent of doping of "p" + "n" regions. This allows heavily doped p, n regions for low parasitic series resistance.

(b) (12.5 points) Why are III-V photodiodes typically faster (larger cutoff frequency) than silicon based structures? Give two reasons.

III-Vs generally have larger mobility + larger  $V_{sat}$  compared to silicon. This leads to shorter transit times.

III-V have larger absorption coeff ( $\alpha \geq 10^4 \text{ cm}^{-1}$ ) compared to silicon ( $\alpha \sim 100 - 10^3 \text{ cm}^{-1}$ ). This allows one to use thin depletion region absorbers with high quantum eff and short transit times.

(c) (12.5 points) Explain qualitatively/physically: Why does the high frequency response of an APD depend upon the ratio of ionization coefficients for electrons and holes:  $\alpha/\beta$ ?

When  $\alpha \gg \beta$  or  $\beta \gg \alpha$ , impact ionization will only occur for a single carrier type (electron or hole). This leads to single pass amplification, and the transit time is approximately the same as for a conventional p-n.

When  $\alpha \approx \beta$ , then impact ionization is likely for both electrons & holes, so you get a positive feedback, & multi pass amplification. The effective transit time becomes much larger. ~~as carriers~~

(d) (12.5 points) Why do photoconductive detectors have a different fundamental BLIP detectivity limit than photodiode detectors?

Photoconductive detectors experience noise associated with both generation & recombination events, which are random & uncorrelated.

Photodiode detectors generally don't exhibit recombination noise since the depletion region is shorter & the velocity is higher.

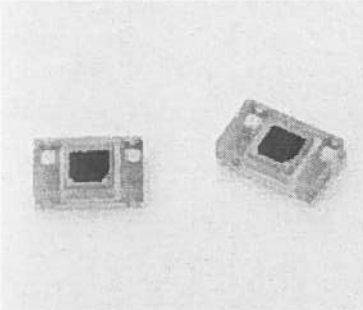
Photoconductors have 2x the shot noise & power density as photodiodes, hence their  $D_{BLIP}^*$  limit is  $\sqrt{2}$  factor smaller.

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PHOTON IS OUR BUSINESS

NEW

## Si PIN photodiode

S10993-02CT



**COB type, applicable to lead-free solder reflow**

The S10993-02CT is a Si PIN photodiode for visible to near infrared range and is compatible with lead-free solder reflow processes. The small and thin leadless package allows reducing the mount area on a printed circuit board.

### Features

- COB type
- Small package: 3.1 × 1.8 × 0.8 mm
- Applicable to lead-free solder reflow
- Photosensitive area: 1.06 × 1.06 mm

### Applications

- Optical switches

### Structure

Parameter	Specification	Unit
Photosensitive area	1.06 × 1.06	mm
Package	Glass epoxy	-
Seal material	Silicone resin	-

### Absolute maximum ratings

Parameter	Symbol	Condition	Value	Unit
Reverse voltage	VR max	Ta=25 °C	10	V
Operating temperature	Topr	No dew condensation*1	-25 to +85	°C
Storage temperature	Tstg	No dew condensation*1	-40 to +100	°C
Reflow soldering conditions*2	Tsol		Peak temperature 260 °C max., 2 times (see P.6)	-

\*1: When there is a temperature difference between a product and the surrounding area in high humidity environment, dew condensation may occur on the product surface. Dew condensation on the product may cause deterioration in characteristics and reliability.

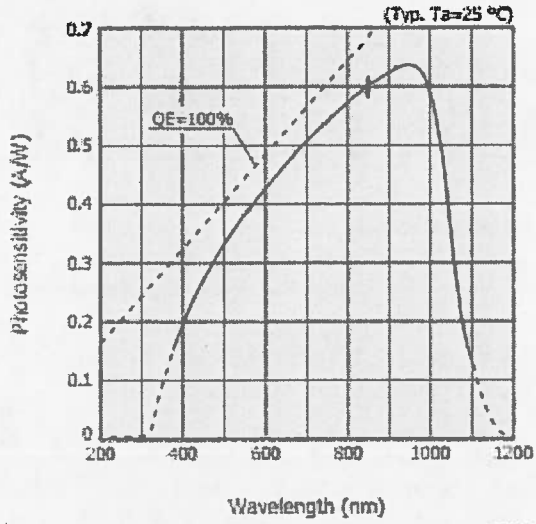
\*2: JEDEC level 2a

Note: Exceeding the absolute maximum ratings even momentarily may cause a drop in product quality. Always be sure to use the product within the absolute maximum ratings.

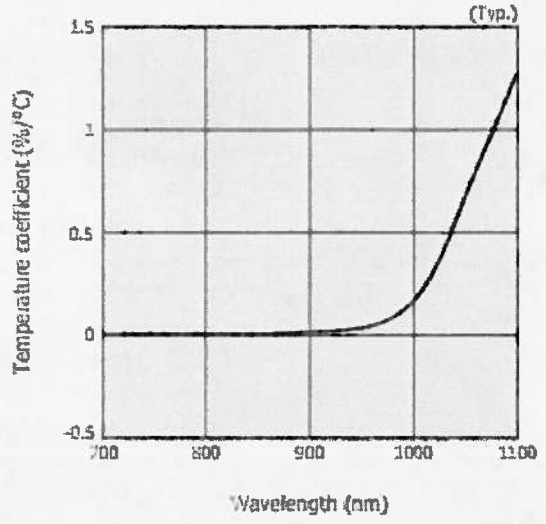
### Electrical and optical characteristics (Ta=25 °C)

Parameter	Symbol	Condition	Min.	Typ.	Max.	Unit
Spectral response range	λ		-	380 to 1100	-	nm
Peak sensitivity wavelength	λp		-	960	-	nm
Photosensitivity	S	λ=650 nm	0.41	0.46	-	A/W
		λ=λp	-	0.6	-	
Short circuit current	Isc	100 lx, 2856 K	-	1.2	-	μA
Dark current	Id	VR=2.5 V	-	0.02	1	nA
Cutoff frequency	fc	VR=2.5 V, λ=650 nm RL=50 Ω, -3 dB	5	10	-	MHz
Terminal capacitance	Ct	VR=2.5 V, f=1 MHz	-	6	12	pF

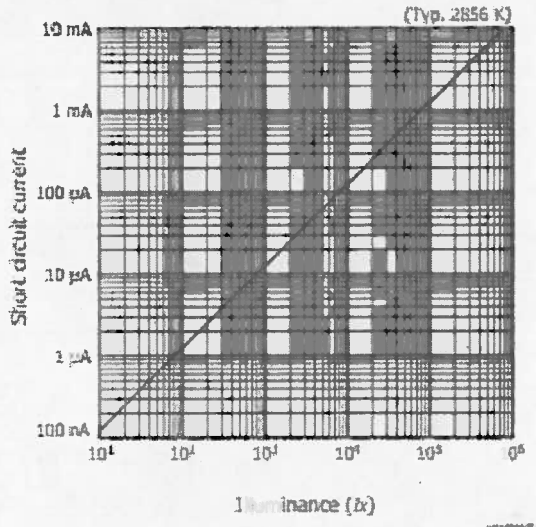
**Spectral response**



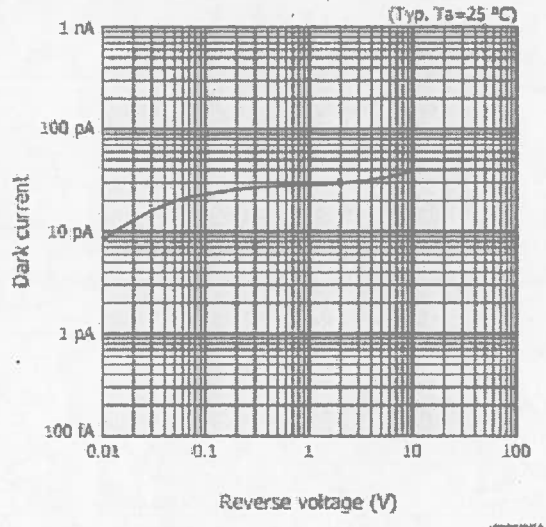
**Photosensitivity temperature characteristics**



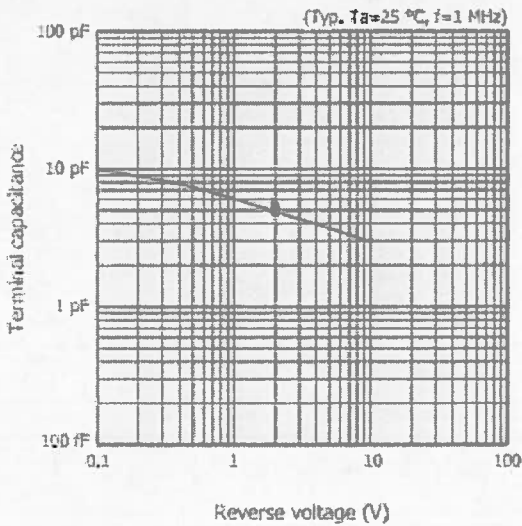
**Linearity**



**Dark current vs. reverse voltage**



**Terminal capacitance vs. reverse voltage**





Blackbody radiation energy density in cavity:  $\rho(\nu) = \frac{8\pi h\nu^3}{c^3} \frac{1}{e^{h\nu/k_B T} - 1}$  (J Hz<sup>-1</sup> m<sup>-3</sup>)

Spectral Radiance from Blackbody surface:

$$R(\nu) = \frac{2h\nu^3}{c^2} \frac{\cos\theta}{e^{h\nu/k_B T} - 1} \quad (\text{W ster}^{-1} \text{ Hz}^{-1} \text{ m}^{-2}) \quad R(\lambda) = \frac{2hc^2}{\lambda^5} \frac{\cos\theta}{e^{hc/\lambda k_B T} - 1} \quad (\text{W ster}^{-1} \text{ m}^{-1} \text{ m}^{-2})$$

Radiant Emittance from Blackbody surface:  $E = \frac{\pi^2 (k_B T)^4}{60c^2 \hbar^3} = \sigma_B T^4$  (W m<sup>-2</sup>)

### Semiconductors

Fermi-Dirac distribution for electrons:  $f(E) = \frac{1}{e^{(E-E_F)/kT} + 1}$ , for holes:  $f(E) = 1 - \frac{1}{e^{(E-E_F)/kT} + 1}$ ,

Equilibrium Carrier concentrations  $n_0 = \int_{E_C}^{\infty} f(E) N(E) dE$

Equilibrium Carrier concentrations in non-degenerate limit ( $E_C - E_F \gg kT$  and  $E_F - E_V \gg kT$ ).

$$n_0 = N_C e^{-(E_C - E_F)/kT}, \quad p_0 = N_V e^{-(E_F - E_V)/kT}$$

$$N_C = 2 \left( \frac{2\pi m_n^* kT}{h^2} \right)^{3/2}, \quad N_V = 2 \left( \frac{2\pi m_p^* kT}{h^2} \right)^{3/2}$$

$$n_i = \sqrt{n_0 p_0} = \sqrt{N_C N_V} e^{-E_g/2kT}$$

Intrinsic Fermi Level

$$E_i = \frac{kT}{2} \ln \left( \frac{N_V}{N_C} \right) + \frac{E_V + E_C}{2}$$

Conductivity of semiconductor:

$$\sigma = ne\mu_n + pe\mu_p$$

Semiconductor electron/hole mobility

$$\mu_n = \frac{e\bar{t}_n}{m_n^*}, \quad \mu_p = \frac{e\bar{t}_p}{m_p^*}$$

Einstein relation for diffusion coeff:  $D = \frac{kT}{e} \mu$  Diffusion length  $L = \sqrt{D\tau}$

Ideal p-n diode (assumes abrupt junction)

Contact potential  $V_0 = \frac{kT}{e} \ln \left( \frac{N_A N_D}{n_i^2} \right)$ ,

Depletion (transition) region width  $W = \sqrt{\frac{2\epsilon(V_0 - V)}{e} \left( \frac{1}{N_A} + \frac{1}{N_D} \right)}$ ,  $x_{n0} = W \frac{N_A}{N_A + N_D}$

Maximum Electric field within depletion region  $\mathcal{E}_{\max} = \sqrt{\frac{2e(V_0 - V)}{\epsilon} \left( \frac{N_A N_D}{N_A + N_D} \right)}$

Ideal diode I-V:  $I = eA \left( \frac{D_p}{L_p} p_n + \frac{D_n}{L_n} n_p \right) (e^{eV/k_B T} - 1) = I_0 (e^{eV/k_B T} - 1)$

Depletion (junction) Capacitance  $C_j = \epsilon A \sqrt{\frac{e}{2\epsilon(V_0 - V)} \frac{N_A N_D}{N_A + N_D}} = \frac{\epsilon A}{W}$

Transit time (average):  $\tau_d \approx \frac{w}{2} \left( \frac{1}{v_e} + \frac{1}{v_h} \right)$

**Noise and photodetection**

Fourier Transform:  $V(\omega) = \int_{-\infty}^{\infty} v(t)e^{-j\omega t} dt$   
 $v(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} V(\omega)e^{j\omega t} d\omega$

Single sided spectral density over interval  $T$ :  $S_T(\nu) = \frac{2}{T} |I_T(\omega)|^2$

Johnson noise  $\langle i_j^2 \rangle = 4k_B T B / R$  Shot noise  $\langle i_s^2 \rangle = 2eIB$

Shot noise with avalanche gain  $M$   $\langle i_s^2 \rangle = 2eIBM^2 F$

Signal to noise ratio:  $SNR_p = \frac{I_{ph}^2}{\langle i_n^2 \rangle}$   $SNR_I = \frac{I_{ph}}{\sqrt{\langle i_n^2 \rangle}}$

Detectivity  $D^* = \frac{\sqrt{AB}}{NEP}$

Constants (SI units):

$\epsilon_0 = 8.85 \times 10^{-12}$  F/m (or  $C^2 N^{-1} m^{-2}$ )

$e = 1.6 \times 10^{-19}$  C

$h = 6.626 \times 10^{-34}$  J s

1 eV =  $1.6 \times 10^{-19}$  J

$\mu_0 = 4\pi \times 10^{-7}$  H/m (or  $N A^{-2}$ )

$k_B = 1.38 \times 10^{-23}$  J/K

$\hbar = 1.055 \times 10^{-34}$  J s

**Material properties of Silicon and GaAs**

All parameters at room temp	Silicon	GaAs
Crystal Structure	Diamond	Zinblende
$a$ (lattice constant)	3.57 Å	3.57 Å
Mass density	2.33 g/cm <sup>3</sup>	5.31 g/cm <sup>3</sup>
Relative permittivity $\epsilon_r$	11.8	13.2
Refractive index $n$		
$E_g$	1.11 eV	1.43 eV
$\mu_e$ (for intrinsic/low doping)	1350 cm <sup>2</sup> /V s	8500 cm <sup>2</sup> /V s
$\mu_h$ (for intrinsic/low doping)	480 cm <sup>2</sup> /V s	400 cm <sup>2</sup> /V s
$m_e^*$	0.26 $m_0$	0.067 $m_0$
$m_h^*$	0.49 $m_0$	0.5 $m_0$
Effective DOS $N_c$	$2.8 \times 10^{19}$ cm <sup>-3</sup>	$4.7 \times 10^{17}$ cm <sup>-3</sup>
Effective DOS $N_v$	$1.0 \times 10^{19}$ cm <sup>-3</sup>	$7.0 \times 10^{18}$ cm <sup>-3</sup>
$n_i$	$1.5 \times 10^{10}$ cm <sup>-3</sup>	$2 \times 10^6$ cm <sup>-3</sup>
$D_n$ (for intrinsic/ low doping)	35 cm <sup>2</sup> s <sup>-1</sup>	220 cm <sup>2</sup> s <sup>-1</sup>
$D_p$ (for intrinsic/ low doping)	12.5 cm <sup>2</sup> s <sup>-1</sup>	10 cm <sup>2</sup> s <sup>-1</sup>

**Useful equations**

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